



OPS-006

Ethical Conduct and Professional Standards Policy

MW Security Consultants

Document Control

Policy Title: Ethical Conduct and Professional Standards Policy

Version:1.0

Approved By: Michael Wild / Principal Consultant

Approval Date: 12/04/2026

Review Frequency: Annual

Classification: Internal

1. Purpose

The purpose of this policy is to define the ethical principles and professional standards expected in all business activities to ensure integrity, trust, and compliance with professional obligations.

2. Scope

This policy applies to:

- All business activities and client engagements
- Professional advice and consultancy services
- The sole operator of the business
- Any authorized third parties acting on behalf of the business

3. Policy Statement

The organization is committed to the highest standards of ethical conduct, professionalism, and integrity in accordance with recognized professional standards and ISO management system principles.

4. Core Ethical Principles

The business operates in accordance with the following principles:

- Integrity and honesty
- Objectivity and independence

- Professional competence and due care
- Confidentiality
- Accountability and responsibility

5. Professional Behavior

- Services are delivered competently and within the scope of professional qualification and experience
- Conflicts of interest are identified, disclosed, and managed
- Misrepresentation of skills, experience, or certification is prohibited
- Professional judgement is exercised independently and objectively

6. Conflicts of Interest

- Actual or potential conflicts are declared as soon as identified
- Appropriate steps are taken to mitigate conflicts
- Engagements may be declined where conflicts cannot be effectively managed

7. Confidentiality and Trust

- Client and third-party information is protected in accordance with the Confidentiality and Data Protection Policies
- Information is not used for personal gain or disclosed without authorization

8. Legal and Regulatory Compliance

- All applicable laws, regulations, and contractual obligations are complied with
- Advice considers relevant legal and regulatory requirements

9. Responsible Risk and Security Practice

- Recommendations are evidence-based and proportionate
- Risk management principles aligned to ISO 31000 are applied
- Security advice avoids unnecessary alarmism or misrepresentation of risk

10. Reporting Unethical Conduct

- Unethical or unprofessional conduct is addressed promptly
- Concerns are documented and corrective action is taken

11. Disciplinary and Corrective Action

- Breaches of this policy result in corrective action
- Serious breaches may result in termination of engagements or reporting where required

12. Training and Professional Development

- Continuous professional development is maintained
- Professional knowledge is kept current and relevant

13. Compliance and Review

This policy is reviewed annually or following significant change.

14. Standards Alignment

ISO 31000 – Ethical Risk Management Principles

ISO/IEC 27001 – Governance and Leadership

ISO 9001 – Professional and Ethical Conduct

Relevant professional codes of conduct